DAC

PTO/SB/21 (08-00) Please type a plus sign (+) inside this box  $\rightarrow$  [+] Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Addrithe Raperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/517,149 MAR 2 3.20TRANSMITTAL Filing Date March 2, 2000 **FORM** First Named Inventor Minoru Horii all correspondence after initial filing) 2615 Group Art Unit **Examiner Name** Poon, King Y. Total Number of Pages in This Submission 49 Attorney Docket Number 351778.04000 ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Response To Notice Of Appeal Communication to Group Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Abandonment Petition for Revival After Final Proprietary Information Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): Return postcard Terminal Disclaimer Express Abandonment Request Request for Refund Information Disclosure Statement CD. Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Doyle B. Johnson (Reg No. 39,240) ReedSmith, LLP Individual name Signature <sup>7</sup>20, 2007 Date March

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: March 20, 2007

Typed or printed name Norma E. Gillespie

Signature Date March 20, 2007

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| PE TO ANCHUTTAL                              | Complete if Known    |               |  |  |  |
|--|----------------------|---------------|--|--|--|
| FEETRANSMITTAL                               | Application Number   | 09/517,149    |  |  |  |
| MAR 2 3 2007 For FY 2002                     | Filing Date          | March 2, 2000 |  |  |  |
| Pace It fees are subject to annual revision. | First Named Inventor | Minoru Horii  |  |  |  |
|  | Examiner Name        | Poon, King Y. |  |  |  |
| Claims small entity status. See 37 CFR 1.27  | Group / Art Unit     | 2625          |  |  |  |
| TOTAL AMOUNT OF PAYMENT (\$) 1,500           | Attorney Docket No.  | 351778.04000  |  |  |  |

| METHOD OF PAYMENT (check all that apply)  |             |               |                      | FEE CALCULATION (continued)                 |                  |            |                                     |            |                 |          |   |   |             |
|---|-------------|---------------|----------------------|---|------------------|------------|-------------------------------------|------------|-----------------|----------|---|---|-------------|
| in Emos of Parinett (oncor an that apply) |             |               |                      | 3. ADDITIONAL FEES                          |                  |            |                                     |            |                 |          |   |   |             |
| ☐ Chec                                    | :k □ (      | Credit car    |                      | loney  Other  Order                         | None             |            | Large Entity Small Entity           |            |                 |          |   |   |             |
| Deposit Account:                          |             |               |                      | Fee   | Fee              | Fe         |                                     | Fee        | Fee Description | Fee Paid |   |   |             |
| Deposit                                   |             | Code<br>105   | ( <b>\$</b> )<br>130 | 20  | ode<br>15        | (\$)<br>65 | Surcharge - late filing fee or oath |            |                 |          |   |   |             |
| Account<br>Number                         |             | 50-2603       |                      |   |                  | 127        | 50                                  | 22         |                 | 25       | Surcharge - late provisional filing fee |   |             |
| 110                                       | ibei        |               |                      |   |                  |            |                                     |            | Ī               |          |   | or cover sheet.   |             |
| Depo                                      | osit        |               |                      |   |                  |            | 139                                 | 130        | 13              | 9        | 130                                     | Non-English specification                                     |             |
| Acco                                      |             | REED          | SMITH LLI            | P   |                  |            | 147                                 | 2,520      | 14              | 7        | 2,520                                   | For filing a request for reexamination                        |             |
| Nam                                       | _           | or is aut     | horized              | to: (check all that app                     |                  |            | 112                                 | 920*       | 1               | 2        | 920*                                    | Requesting publication of SIR prior to Examiner action        |             |
| ☑ Char                                    | ge fee(s)   | indicated     | below                | Credit any overpaying the pendency of t     | yments           |            | 113                                 | 1,840*     | 1               | 3        | 1,840*                                  | Requesting publication of SIR after                           |             |
|   |             |               |                      | xcept for the filing f                      |                  | '          | 115                                 | 110        | 2               | 5        | 55                                      | Examiner action  Extension for reply within first month       | $\vdash$    |
|   |             |               | osit acco            |   |                  |            | 116                                 | 400        | 2               |          | 200                                     | Extension for reply within second                             |             |
|   |             |               | FEE CAL              | CULATION                                    |                  |            | 110                                 | 400        | اءُ             |          | 200                                     | month   |             |
| 1. E                                      | BASIC FI    | ING FEE       | :                    |   |                  | $\neg$     | 117                                 | 920        | 2               | 7        | 460                                     | Extension for reply within third month                        |             |
| Large E                                   |             | Small En      |                      |   |                  |            | 118                                 | 1,440      | 2               | 8        | 720                                     | Extension for reply within fourth                             |             |
| Fee                                       |             | Fee           | Fee <u>Fe</u>        | ee Description                              |                  |            |                                     |            |                 |          |   | month   |             |
| Code                                      | (\$)        | Code          | (\$)                 |   | Fee Paid         | _ I        | 128                                 | 1,960      | 22              |          | 980                                     | Extension for reply within fifth month                        |             |
| 101                                       |             |               |                      | tility filing fee                           |                  | . I        | 119                                 | 320        | 2               |          | 160                                     | Notice of Appeal  | $\vdash$    |
| 106                                       |             |               |                      | esign filing fee                            |                  | <b>↓ I</b> | 120<br>121                          | 320<br>280 | 22              |          | 160<br>140                              | Filing a brief in support of an appeal                        |             |
| 107                                       |             |               |                      | ant filing fee                              |                  | -↓ I       | 121                                 | 280        | -1              | 1        | 140                                     | Request for oral hearing                                      | <del></del> |
| 108<br>114                                |             |               |                      | eissue filing fee<br>rovisional filling fee |                  | -          | 138                                 | 1,510      | 13              | 8        | 1,510                                   | Petition to institute a public use proceeding                 |             |
| ''-                                       | 100         | 214           | ou Fi                | Ovisional ming lee                          |                  | - 1        | 140                                 | 110        | 24              | 0        | 55                                      | Petition to revive – unavoidable                              |             |
|   |             | SUI           | BTOTAL (             | (1)   | (\$) 0           | ٦l         | 141                                 | 1,280      | 24              | -1       | 640                                     | Petition to revive – unintentional                            | 1,500       |
|   |             |               |                      | · · · ·                                     |                  |            | 142                                 | 1,280      | 24              | 2        | 640                                     | Utility issue fee (or reissue)                                |             |
| 2. EXTR                                   | RA CLAII    | /I FEES       |                      | <b>-</b>                                    |                  |            | 143                                 | 460        | 24              | 3        | 230                                     | Design issue fee  |             |
|   |             |               |                      | Extra Fee from<br>Claims below              | Fee<br>Paid      |            | 144                                 | 620        | 24              | 4        | 310                                     | Plant issue fee   |             |
| Total Clain                               | ns          |               | ** = [               |   | = 0              | ]          | 122                                 | 130        | 12              |          | 130                                     | Petitions to the Commissioner                                 |             |
| Independer                                | nt 🗆        | $\overline{}$ | $\overline{\Box}$    |   | 7 -              | īl         | 123                                 | 50         | 12              | 3        | 50                                      | Processing fee under 37 CFR 1.17 (q                           | ·           |
| Claims                                    |             | ]-            | ** = [               | x   | = 0              | ַ          | 126                                 | 180        | 12              | 6        | 180                                     | Submission of Information Disclosure<br>Stmt                  |             |
| Multiple<br>Dependent                     |             |               |                      | · x   | = 0              |            |                                     |            |                 |          |   | Recording each patent assignment                              |             |
| Large Er                                  |             | Sma           | II Entity            | <b>L</b>                                    | J                | 1          | 581                                 | 40         | 58              | 11       | 40                                      | per property (times number of properties)                     |             |
| Fee<br>Code                               | Fee<br>(\$) | Fee<br>Code   | Fee<br>(\$)          | Fee Description                             |                  |            | 146                                 | 740        | 24              | 6        | 370                                     | Filing a submission after final rejection (37 CFR § 1.129(a)) | 1           |
| 103                                       | 18          | 203           | 9                    | Claims in excess of                         | 20               |            | 149                                 | 740        | 24              | 9        | 370                                     | For each additional invention to be                           |             |
| 102                                       | 84          | 202           | 42                   | Independent claims                          | in excess of 3   |            |                                     |            |                 |          |   | examined (37 CFR § 1.129(b))                                  |             |
| 104                                       | 280         | 204           | 140                  | Multiple dependent                          | claim, if not pa | d          | 179                                 | 740        | a               | 79       | 370                                     | Request for Continued Examination (RCE                        |             |
| 109                                       | 84          | 209           | 42                   | ** Reissue independ<br>original patent      | lent claims ove  | r          | 169                                 | 900        | ٠,              | 69       | 900                                     | Request for expedited examination                             |             |
| 110                                       | 18          | 210           | 9                    | ** Reissue claims in                        | excess of 20     | and        |                                     |            |                 |          |   | of a design application                                       |             |
| ''`                                       | 10          | 1 210         | 3                    | over original patent                        |                  |            | Other fo                            | e (specif  | iv)             |          |   |   |             |
|   |             |               | SUE                  | STOTAL (2) (\$) 0                           |                  | 7 I        | Juiei le                            | o (apecii  | "               |          |   |   |             |
|   |             |               | 551                  | (4)0  |                  | J ∣        | *Reduc                              | ed by Ba   | sic !           | Filipo   | Fee Pa                                  | aid SUBTOTAL (3)  | -00         |
|   |             | احدواد        | alad 16 ==:          | oton For Balances -                         | a abarra         |            |                                     | Uy Da      |                 |          | , , 55 1-6                              | 310 SUBTOTAL (3) (\$) 1,                                      | 000         |
| or nu                                     | mber pre    | viously pa    | aia, ir grea         | ater; For Reissues, se                      | se above         |            |                                     |            |                 |          |   |   |             |

| SUBMITTED BY      |                  |                                  |        | Con       | nplete (if applicable) |
|-------------------|------------------|----------------------------------|--------|-----------|------------------------|
| Name (Print/Type) | Doyle B. Johnson | Registration No. Attorney/Agent) | 39,240 | Telephone | 415-659-5969           |
| Signature         | AUBL             | 1                                | _      | Date      | March 20, 2007         |

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B. The issue fee of \$ \_\_\_\_\_

is enclosed herewith.

has been paid previously on \_\_\_\_\_.

Docket Number (Optional)

|  | UNDER 37 CFR 1.137(b)   | MI ABANDONED  | 351778.04000         |  |  |  |  |
|--|---|---|----------------------|--|--|--|--|
| First named inventor:  | Minoru Horii  |   |                      |  |  |  |  |
| Application No.: 09/51   | 7,149   | Art Unit: 2625  |                      |  |  |  |  |
| Filed: March 2, 2000 Examiner: Poon, King Y.   |   |   |                      |  |  |  |  |
| Title: Printer And Printing Method (As Amended)  |   |   |                      |  |  |  |  |
| Attention: Office of Permander Stop Petition Commissioner for Pate P.O. Box 1450 Alexandria, VA 22313 FAX: (703) 872-9306  | ents  |   |                      |  |  |  |  |
| NOTE:  | If information or assistance is needed in Petitions Information at (703) 305-9282   |   | please contact       |  |  |  |  |
| The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained. |   |   |                      |  |  |  |  |
| А  | PPLICANT HEREBY PETITIONS FOR REVI  | VAL OF THIS APPLICAT                                  | ION                  |  |  |  |  |
| NOTE: A gra<br>(1)<br>(2)<br>(3)   | entable petition requires the following item<br>Petition fee;<br>Reply and/or issue fee;<br>Terminal disclaimer with disclaimer fe<br>applications filed before June 8, 1995<br>Statement that the entire delay was u | ee required for all util<br>i; and for all design app |                      |  |  |  |  |
| Petition fee     Small entity - fee  | ee \$ (37 CFR 1.17(m)). Applicant   | claims small entity stat                              | us. See 37 CFR 1.27. |  |  |  |  |
| Other than sma   | all entity - fee \$ <u>1,500.00</u> (37 CFR 1.17(m  | ))  |                      |  |  |  |  |
| 2. Reply and/or fee  |   |   |                      |  |  |  |  |
| the form of <u>Res</u><br>☐ has bee  | nd/or fee to the above-noted Office action sponse To Notice Of Abandonment (iden n filed previously on  |   |                      |  |  |  |  |

[Page 1 of 2]

This collection of information is required by 37 CFR 1.137. Theinformation is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the ChiefInformation Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box1450, Alexandria, VA 22313-1450.

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| 3. | Terminal disclaimer with disclaimer fee   |                                    |  |  |  |  |  |
|----|---|------------------------------------|--|--|--|--|--|
| -  | Since this utility/plant conficution was filed as as offer lune 9, 1005   |                                    |  |  |  |  |  |
|    | Since this utility/plant application was filed on or after June 8, 1995,  |                                    |  |  |  |  |  |
|    | A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ for a small entity or \$ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).  |                                    |  |  |  |  |  |
| 4. | STATEMENT. The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(b) was unintentional (MPEP 711.03(c) subsections (III)(C) and (D))]. |                                    |  |  |  |  |  |
|    | WARNING: Information on this form may become public. Credi included on this form. Provide credit card information and auti  |                                    |  |  |  |  |  |
|    | all bolo  | March 20, 2007                     |  |  |  |  |  |
|    | Signature Doyle B. Johnson  | Date 39,240                        |  |  |  |  |  |
|    | Typed or printed name   | Registration Number, if applicable |  |  |  |  |  |
|    | Two Embarcadero Center, Suite 2000  | 415-659-5911                       |  |  |  |  |  |
|    | Address   | Telephone Number                   |  |  |  |  |  |
|    | San Francisco, CA 94111   |                                    |  |  |  |  |  |
|    | Address   |                                    |  |  |  |  |  |
| En | closures: 🗵 Fee Payment   |                                    |  |  |  |  |  |
|    | ⊠ Reply   |                                    |  |  |  |  |  |
|    | ☐ Terminal Disclaimer Form  |                                    |  |  |  |  |  |
|    | ☑ Additional sheets containing statements establishing unit   | ntentional delay                   |  |  |  |  |  |
|    | ☐ Other :   | •                                  |  |  |  |  |  |
|    |   |                                    |  |  |  |  |  |
| Γ  | CERTIFICATE OF MAILING OR TRANSMISSION [3]  | 7 CFR 1.8(A)]                      |  |  |  |  |  |
|    | hereby certify that this correspondence is being:   |                                    |  |  |  |  |  |
|    | deposited with the United States Postal Service on the date shown be<br>class mail in an envelope addressed to: Mail Stop Petition, Commission<br>Alexandria, VA 22313-1450.  |                                    |  |  |  |  |  |
|    | transmitted by facsimile on the date shown below to the United States (703) 872-9306.   | Patent and Trademark Office at     |  |  |  |  |  |
|    | March 20, 2007 Signatu  | re /// <i>es/</i> // <i>c</i>      |  |  |  |  |  |
|    | Date  | /                                  |  |  |  |  |  |
|    | Norma E. G  | illespie                           |  |  |  |  |  |
|    | Typed or printed name of pe   | erson signing certificate          |  |  |  |  |  |
| _  | . Jpss s. pss name of pe  |                                    |  |  |  |  |  |



### **Attorney Docket No. 351778.04000**

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Minoru Horii

Serial No. 09/517,149

Filed: March 2, 2000

Title: PRINTER AND PRINTING METHOD

(AS AMENDED)

Examiner: Poon, King Y.

Group Art Unit: 2625

### **PETITION TO REVIVE**

Commissioner for Patents US Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is a Petition to Revive the above-identified patent application which was abandoned in error by the Office as indicated by the attached documents – (1) Response To Notice Of Abandonment, (2) copy of Amendment mailed February 2, 2006 and copy of returned postcard, (3) copies of Applicant Initiated Interview Request, Amendment after Final faxed February 17, 2006, (4) Advisory Action mailed February 21, 2006. Also enclosed are copies of the (5) Request for Continued Examination (RCE), Petition for Extension of Time, Preliminary Amendment, Fee Transmittal, Transmittal Form and copy of return postcard (copies enclosed) which was mailed on April 10, 2006 and received in the Patent Office on April 13, 2006 (see copy of postcard stamped by the USPTO) and (6) copy of a Notice of Abandonment due to Failure to Respond to Office Action mailed on February 7, 2007.

The Commissioner is hereby authorized to charge any fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR §1.78 to Deposit Account No. 50-2603, referencing Attorney Docket No. 351778.04000.

### A duplicate sheet is attached.

Respectfully submitted,

Morma E. Cillespie

Norma E. Gillespie

REED SMITH LLP

Dated: March 20, 2007

Name: Doyle B. Johnson Registration No. 39,240 Attorneys for Applicants

Two Embarcadero Center, Suite 2000 P.O. Box 7936 San Francisco, CA 94120-7936 Direct Dial (415) 659-5911 (415) 543-8700 Telephone (415) 391-8269 Facsimile

### **CERTIFICATE OF MAILING**

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Dated: March 20, 2007

MAR 2 3 2007

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number FADERIA! 09/517 149 TRANSMITTAL Filing Date March 2, 2000 First Named Inventor **FORM** Minoru Horii Art Unit 2624 **Examiner Name** Poon, King Y. (to be used for all correspondence after initial filing) Attorney Docket Number 351778.04000 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC ✓ Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC ✓ Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Return Postcard Request for Refund Express Abandonment Request CD, Number of CD(s)\_ Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Signature Printed name John Dafe Reg. No. 39,129 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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| OIPE  | Complete if Known    |               |  |  |
|---|----------------------|---------------|--|--|
| O'FEE TRANSMITTAL   | Application Number   | 09/517,149    |  |  |
| MAR 2 3 2007 for FY 2005  | Filing Date          | March 2, 2000 |  |  |
| Statistics of Colored | First Named Inventor | Minoru Horii  |  |  |
| Effective 10/01/2019. Patent rees are subject to annual revision.   | Examiner Name        | Poon, King Y. |  |  |
| Angligant Plaims small entity status. See 37 CFR 1.27   | Art Unit             | 2624          |  |  |
| Effective 10/01/2001. Patent fees are subject to annual revision.  CARPINATION AND THE PAYMENT (\$) 0.  | Attorney Docket No.  | 351778.04000  |  |  |

| TOTAL ANIOU                              | NI OF PATIV          | EN (\$) U.                                    |                                 |                             | Attorni     | ey Docke    | IVO.        |             |                                     | <del></del>                              |          |
|--|----------------------|---|---------------------------------|-----------------------------|-------------|-------------|-------------|-------------|-------------------------------------|--|----------|
| METHOD OF PAYMENT (check all that apply) |                      |   |                                 | FEE CALCULATION (continued) |             |             |             |             |                                     |  |          |
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| ,  |                      |   |                                 |                             | Fee<br>Code | Fee<br>(\$) | Fee<br>Code | Fee<br>(\$) | Fee Des                             | cription                                 | Fee Paid |
| Deposit                                  |                      |   |                                 | ]                           | 1051        | 130         | 2051        | 65          | Surcharge - late f                  | filing fee or oath                       |          |
| Account                                  | 50-2603              |   |                                 | '                           | 1052        | 50          | 2052        | 25          | -                                   | provisional filing fee                   |          |
| Number                                   | L                    |   |                                 | J                           |             |             |             |             | or cover sheet.                     |  |          |
| Deposit                                  | <del></del>          |   |                                 | 1                           | 1053        | 130         | 1053        | 130         | Non-English spec                    | cification                               |          |
| Account                                  | REED SMITH L         | LP  |                                 | 1                           | 1812        | 2,520       | 1812        | 2,520       | For filing a reque                  | st for reexamination                     |          |
| Name                                     | _                    |   |                                 |                             | 1804        | 920*        | 1804        | 920*        | Requesting publi<br>Examiner action | cation of SIR prior to                   |          |
| Charge fee(s) i                          | ndicated below       | heck all that apply)  Credit any oven         |                                 |                             | 1805        | 1,840*      | 1805        | 1,840*      |                                     | cation of SIR after                      |          |
|  |                      | uring the pendency of<br>except for the filin |                                 | n                           | 1251        | 120         | 2251        | 60          |                                     | ly within first month                    |          |
| to the above-ident                       |                      |   | 9 ,00                           |                             | 1252        | 450         | 2252        | 225         | Extension for rep                   | -  |          |
|  |                      | ALCULATION                                    |                                 |                             | 1,232       | 450         | 2232        | LLU         | month                               | ny within second                         |          |
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| Large Entity                             | Small Entity         |   |                                 |                             | 1254        | 1,590       | 2254        | 795         | Extension for rep<br>month          | oly within fourth                        |          |
|  |                      | Fee Description                               |                                 |                             | 1255        | 2,160       | 2255        | 1,080       | Extension for rep                   | ly within fifth month                    |          |
| 1  | ode (\$)             |   | Fee Paid                        | <del></del> 1               | 1401        | 500         | 2401        | 250         | Notice of Appeal                    |  |          |
| i I                                      |                      | Utility filing fee                            |                                 | 4                           | 1402        | 500         | 2402        | 250         | Filing a brief in s                 | upport of an appeal                      |          |
|  |                      | Design filing fee                             | ļ .                             |                             | 1403        | 1,000       | 2403        | 500         | Request for oral                    | hearing                                  |          |
| 1004 790 2                               | 004 395              | Plant filing fee<br>Reissue filing fee        |                                 |                             | 1451        | 1,510       | 1451        | 1,510       | Petition to institu<br>proceeding   | te a public use                          |          |
| 1005 200 2                               | 005 100<br>SUBTOTA   | Provisional filling fee L (1)                 | (\$) 0                          | $\exists$                   | 1452        | 500         | 2452        | 250         | Petition to revive                  | - unavoidable                            |          |
| 0 57.                                    |                      |   |                                 |                             | 1453        | 1,500       | 2453        | 750         | Petition to revive                  | - unintentional                          |          |
| 2. EXTRA CLA                             | IM FEES FOI          | R UTILITY AND R                               |                                 |                             | 1501        | 1,400       | 2501        | 700         | Utility issue fee (                 | or reissue)                              |          |
|  |                      | Extra Fee fro<br>Claims below                 | om Fee<br>Paid                  |                             | 1502        | 800         | 2502        | 400         | Design issue fee                    | 1 .                                      |          |
| Total Claims 4                           | 29** =               | 0 X 50  | = 0.                            |                             | 1503        | 1,100       | 2503        | 550         | Plant issue fee                     |  |          |
| Independent                              | i i                  |   |                                 | _                           | 1460        | 130         | 1460        | 130         | Petitions to the (                  | Commissioner                             |          |
| Claims 1                                 | 8** =                | 0 X 200.                                      | =   0.                          | -                           | 1807        | 50          | 1807        | 50          | Processing fee u                    | under 37 CFR 1.17 (                      | 1)       |
| Multiple<br>Dependent                    |                      | ×   | = 0                             | $\exists$                   | 1806        | 180         | 1806        | 180         | Submission of Ir<br>Stmt            | nformation Disclosure                    | ·        |
| Large Entity                             | Small Entity         | <u>.                                    </u>  |                                 |                             |             |             |             |             |                                     | patent assignment                        |          |
| Fee Fee                                  | Fee Fee              | ree Descrintion                               |                                 |                             | 8021        | 40          | 8021        | . 40        | per property (time properties)      | nes number of                            |          |
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| 1201 200<br>1203 360                     | 2201 100<br>2203 180 |   |                                 |                             | 1810        | 790         | 2810        | 395         |                                     | nal invention to be                      |          |
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| SUBMITTED BY                             |                      | _   | Т                               |                             |             |             |             |             | Com                                 | plete (if applicable)                    |          |
| Name (Print/Type)                        | John W.              | Cappenter                                     | Registration I<br>(Attorney/Age |                             |             | 39,         | 129         |             | Telephone                           | 415-659-5927                             |          |
|  |                      | , , , ,                                       | / -                             |                             | 1           |             |             |             |                                     | , , – – –                                |          |

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Included on this form. Provide credit card information and authorization on P10-2038.

This effection of information is regdired by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney's Docket No. 351778.04000

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

MINORU HORII

For: Printer and Printing Method (As Amended)

Application No. 09/517,149

Filing Date: March 2, 2000

Examiner: Poon, King Y.

Group Art Unit: 2624

### **AMENDMENT**

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the final Office Action dated December 8, 2005, please amend the above identified application as follows.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 4 of this paper.

### In the Claims

### 1. – 34 (Cancelled)

### 35. (Previously Presented) A printer, comprising:

an image processor configured to apply a series of image processing settings to a first image to produce a series of second images that include images with a progressively higher image processing setting than the first image and images with a progressively lower image processing setting than the first image;

a print section configured to,

print the first image on a printing medium,

print each second image having progressively higher image processing settings in a first direction relative to the printed first image, and

print each second image having progressively lower image processing settings in a second direction relative to the printed first image; and

an input section configured to retrieve a user selection of one of the second images; wherein:

the image processor is further configured to apply a next series of image processing settings to the selected second image to produce a third set of images; and

the print section is further configured to print the selected second image and the third set of images.

- 36. (Previously Presented) The printer according to Claim 35, wherein the image processing settings comprise at least one of R, G, B, tint, brightness, and sharpness.
  - 37. (Previously Presented) The printer according to Claim 35, wherein: the image processing settings comprise a tint comprising R, G, and B settings; the second images are printed so as to surround the first image; and the third images are printed so as to surround the selected second image.

Amendment - 2 - Serial No. 09/517,149

38. (Previously Presented) The printer according to Claim 35, wherein the image processor and print section are configured to repeatedly prepare and print images until a desired image is produced.

### **REMARKS**

Favorable consideration of this application is respectfully requested.

Claims 35 - 38 are currently active in this case. Claims 25 - 29, 31 and 32 were previously cancelled and Claims 1 - 24, 30, 33 and 34 have been cancelled by way of the present amendment. Each of the claims presented herein are supported by the specification and claims as originally submitted and no new matter has been added.

In the outstanding Office Action, Claims 35 and 38 were rejected under 35 U.S.C. 102(b) as being anticipated by *Tsuboi et al.* (US Pat. 5,119,182, hereinafter *Tsuboi*), and Claims 36 and 37 were rejected under 35 U.S.C. 103(a) as being unpatentable over *Tsuboi* as applied to Claim 35 and further in view of *Yang et al.* (US Pat. 5,606,395, hereinafter *Yang*).

Applicants respectfully traverse the rejection of Claim 35 as being anticipated by *Tsuboi*. Claim 35 recites:

35. (Previously Presented) A printer, comprising:

an image processor configured to apply a series of image processing settings to a first image to produce a series of second images that include images with a progressively higher image processing setting than the first image and images with a progressively lower image processing setting than the first image;

a print section configured to,

print the first image on a printing medium,

print each second image having progressively higher image processing settings in a first direction relative to the printed first image, and

print each second image having progressively lower image processing settings in a second direction relative to the printed first image; and

an input section configured to retrieve a user selection of one of the second images;

wherein:

the image processor is further configured to apply a next series of image processing settings to the selected second image to produce a third set of images; and

the print section is further configured to print the selected second image and the third set of images.

However, the cited reference fails to teach or suggest similar subject matter.

Applicants respectfully traverse the assertion in the outstanding Office Action which states *Tsuboi* produce a series of second images and print each second image having "progressively higher image processing settings in a first direction relative to the first printed image" and print each second image having "progressively lower image processing settings in a second direction relative to the second printed image." As a preliminary matter, Applicants respectfully note the citation to Fig. 6 which is presented as teaching the "the progressively higher" and "progressively lower" image processing settings in printed images in first and second directions. However Fig 6 is a mosaic rather than directional output of printed images (Tsuboi, col. 6, lines 32-33, Fig. 6). In particular, Tsuboi's mosaic output is not supportive of progressively different image processing settings in either first or second directions.

More importantly, Tsuboi's mosaic printed images do not teach "image processing settings" that are either "progressively higher" or "progressively lower" in any direction. The outstanding Office Action cites "C2M2Y2 is in the lower direction of C1M1Y1," and "C0M0Y0 are at a higher direction of C1M1Y1." Applicants admit that C2M2Y2 is in a "lower direction" of C1M1Y1 and that C0M0Y0 is in a "higher direction" of C1M1Y1, however, Tsuboi's mosaic images in either the "lower direction," or the "higher direction" do not contain the "progressively higher" or "progressively lower" image processing settings as required in Claim 35.

More particularly, with respect to C0M0Y0, which is in the "higher direction" from C1M1Y1 (but which contains lower image processing settings compared to C1M1Y1), the images printed in any direction between C1M1Y1 and C0M0Y0 contain images that have image processing settings that are either the same as those in C1M1Y1 or higher than those contained in either C1M1Y1 or C0M0Y0 (e.g., all images in row m<sub>2</sub> have a higher M (Magenta) image processing setting than found in either C1M1Y1 or C0M0Y0). Therefore, *Tsuboi's* mosaic

Amendment - 5 - Serial No. 09/517,149

printout cannot be said to contain "progressively lower image processing settings in a second direction relative to the second printed image."

Likewise, with respect to C2M2Y2, which is in the "lower direction" from C1M1Y1 (but which contains higher image processing settings compared to C1M1Y1), the images printed in any direction between C1M1Y1 and C2M2Y2 contain at least one image that has image processing settings that are the same as C1M1Y1 or lower than those contained in either C1M1Y1 or C2M2Y2 (e.g., all images in row m<sub>0</sub> have a lower M (Magenta) image processing setting than found in either C1M1Y1 or C2M2Y2). Therefore, Tsuboi's mosaic printout cannot be said to contain "progressively higher image processing settings in a second direction relative to the second printed image."

Therefore, Applicants respectfully submit that Claim 35 cannot be anticipated or rendered obvious over *Tsuboi* because the cited reference fails to teach or suggest subject matter specifically claimed in Claim 35. Accordingly, Applicants respectfully submit that Claim 35 is patentable over *Tsuboi*.

Based on the patentability of Claim 35, Applicants further respectfully submit that dependent Claims 36 – 38 are also patentable. If the Examiner disagrees with any of the foregoing, Applicants respectfully request that the Examiner call the undersigned who will be happy to work with the Examiner in a joint effort to resolve any remaining issues.

Consequently, no further issues are believed to be outstanding, and it is respectfully submitted that this case is in condition for allowance. An early and favorable action is respectfully requested.

Respectfully submitted,

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(415) 543-8700 Telephone

(415) 391-8269 Facsimile

REED SMITH LLP

Name: John Registration No. 39,129

Attorney for Applicant

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|   | Application No. 09/5171149 In re application of: Minoru Hori, RS Ref.: 351778.04000 Atty: Juc |
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|   | In re application of: Minor W Horri   |
|   | Date Mailed: 2/2/2006 Due Date: 3/8/2004  |
|   | The following has been received in the USPTO on the date stamped hereon:                      |
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|   | Utility Application:pages (DATE) Issile Feld frammittal Form                                  |
|   | ☐ Design Application: pages Fee Transmittal Form  |
|   | ☐ Drawings: sheets ☐ Formal ☐ Informal P € ☐ Check  |
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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Minoru Horii

Serial No. 09/517,149

Filed: March 2, 2000

Title: PRINTER AND PRINTING METHOD

(AS AMENDED)

Examiner: Poon, King Y.

Group Art Unit: 2625

### RESPONSE TO NOTICE OF ABANDONMENT

Commissioner for Patents US Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is a Response to a Notice Of Abandonment mailed February 7, 2007. The abandonment is in error as indicated by the following documentation.

On December 8, 2005 a Final Rejection Office Action was mailed from the Office and received in our offices on December 13, 2005. An Amendment was mailed to the Office on February 2, 2006 and forwarded to the Examiner on February 10, 2006. Attorney John Carpenter conducted an Interview with Examiner King Y. Poon on February 16, 2006 and an Amendment After Final was faxed to the Examiner on February 17, 2006. An Advisory Action was mailed by the Examiner on February 21, 2006 and received in our offices on February 27, 2006.

A Request For Continued Examination (RCE), Petition for Extension of Time, Preliminary Amendment, Fee Transmittal, Transmittal Form and copy of return postcard (copies enclosed) was mailed on April 10, 2006 and received in the Patent Office on April 13, 2006 (see copy of postcard stamped by the USPTO). We then received a Notice of Abandonment due to Failure to Respond to Office Action on February 12, 2007.

From the above documentation the Notice of Abandonment is in error and the Petition to Revive should be granted and the application returned to pending status with no Petition fees charged to us.

Your prompt attention to this matter will be appreciated.

The Commissioner is hereby authorized to charge any fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR §1.78 to Deposit Account No. 50-2603, referencing Attorney Docket No. 351778.04000. A duplicate sheet is attached.

Respectfully submitted,

REED SMITH LLP

Dated: March 20, 2007

Name: Doyle B. Johnson Registration No. 39,240 Attorneys for Applicants

Two Embarcadero Center, Suite 2000 P.O. Box 7936 San Francisco, CA 94120-7936 Direct Dial (415) 659-5911 (415) 543-8700 Telephone (415) 391-8269 Facsimile

### **CERTIFICATE OF MAILING**

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Dated: March 20, 2007

Norma E. Gillespie



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| eFiled Application Information            |  | 1 1 1 1 1 | <del></del> |  |
|---|--|-----------|-------------|--|
| EFS ID                                    | 1014771  |           |             |  |
| Application Number                        | 09517149   |           |             |  |
| Confirmation Number                       | 4549   |           |             |  |
| Title                                     | Printer having selectable<br>printing parameters and a<br>method therefor  |           |             |  |
| First Named Inventor                      | Minoru Horii   |           |             |  |
| Customer Number or Correspondence Address | Adam H Tachner<br>Crosby Heafey Roach &<br>May<br>PO Box 7936<br>San Francisco<br>CA 94120-7936 US<br>415 659-5911 |           |             |  |
| Filed By                                  | John Walter<br>Carpenter/Maryalice<br>Kelley   |           |             |  |
| Attorney Docket Number                    | 21778.04000  |           |             |  |
| Filing Date                               | 02-MAR-2000  |           |             |  |
| Receipt Date                              | 30-MAR-2006  |           |             |  |
| Application Type                          | Utility  |           |             |  |

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paperwork Re Application Number 09/517,149 TRANSMITTAL Filing Date March 2, 2000 **FORM** First Named Inventor Minoru Horii Art Unit 2624 Examiner Name Poon, King Y. (to be used for all correspondence after initial filing) Attorney Docket Number 351778.04000 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC ✓ Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC ✓ Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): us 3.52 Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Reed Smith, LUF Signature Printed name John W. Carpenter Date Reg. No. 39,129 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date Mary Kelley Typed or printed name

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| FEE TRANSMITTAL  MAR 2 3 2007 for FY 2005  | Application Number   | 09/517,149        |  |
| MAR 1 3 200 for FY 2005  | Filing Date          | March 2, 2000     |  |
| Effective 10/01/2004. Patent fees are subject to annual revision.  | First Named Inventor | Minoru Horii      |  |
| TEANE THE PROPERTY OF THE PROP | Examiner Name        | Poon, King Y.     |  |
| Applicant claims small entity status. See 37 CFR 1.27  | Art Unit             | 2624              |  |
| TOTAL AMOUNT OF PAYMENT (\$) 0.  | Attorney Docket No.  | 351778.04000      |  |

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| Deposit<br>Account    | 50-2603            |  |               | 1051              | 130         | 2051        | 65          | Surcharge - late filing fee or oath                                   |             |
| Number                | 30 2000            |  |               | 1052              | 50          | 2052        | 25          | Surcharge - late provisional filing fee or cover sheet.               |             |
| Deposit               |                    |  |               | 1053              | 130         | 1053        | 130         | Non-English specification   |             |
| Account               | REED SMITH         | ILLP   |               | 1812              | 2,520       | 1812        | 2,520       | For filing a request for reexamination                                |             |
| Name                  | thorized to:       | (about all that apply)   |               | 1804              | 920*        | 1804        | 920*        | Requesting publication of SIR prior to Examiner action                |             |
| Charge fee(s) in      | ndicated belov     | (check all that apply)    Credit any overpayments  during the pendency of this application         | ,             | 1805              | 1,840*      | 1805        | 1,840*      | Requesting publication of SIR after<br>Examiner action                |             |
|                       |                    | w, except for the filing fee   | •             | 1251              | 120         | 2251        | 60          | Extension for reply within first month                                |             |
| to the above-identi   |                    | ccount.  |               | 1252              | 450         | 2252        | 225         | Extension for reply within second month                               |             |
| 4 54010 5             |                    | OALGOLATION  |               | 1253              | 1020        | 2253        | 510         | Extension for reply within third month                                | -           |
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| 1 '''                 | ode (\$)           | Fee Paid   | ٦.            | 1401              | 500         | 2401        | 250         | Notice of Appeal  |             |
|                       | 001 395<br>002 175 | Utility filing fee   | -             | 1402              | 500         | 2402        | 250         | Filing a brief in support of an appeal                                |             |
|                       | 002 173            | Design filing fee Plant filing fee   | -             | 1403              | 1,000       | 2403        | 500         | Request for oral hearing  |             |
| 1004 790 2            | 004 395            | Reissue filing fee   | 1             | 1451              | 1,510       | 1451        | 1,510       | Petition to institute a public use proceeding                         |             |
| 1005 200 2            | 005 100<br>SUBTOT  | Provisional filling fee  AL (1) (\$) 0   | $\frac{1}{2}$ | 1452              | 500         | 2452        | 250         | Petition to revive – unavoidable                                      |             |
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| Z. EXIKA CLA          | IM FEE2 FC         | OR UTILITY AND REISSUE Extra Fee from Fee  |               | 1501              | 1,400       | 2501        | 700         | Utility issue fee (or reissue)  |             |
|                       |                    | Claims below Paid  |               | 1502              | 800         | 2502        | 400         | Design issue fee  |             |
| Total Claims 4        | 29** =             | 0 X 50. = 0.   | ]             | 1503              | 1,100       | 2503        | 550         | Plant issue fee   |             |
| Independent 1         | 8** =              | 0 X 200. = 0.  | ٦             | 1460              | 130         | 1460        | 130         | Petitions to the Commissioner   |             |
| Claims 1              |                    | ^ 200 0.   | ╛             | 1807              | 50          | 1807        | 50          | Processing fee under 37 CFR 1.17 (q)                                  | ·           |
| Multiple<br>Dependent |                    | X = 0  | ].            | 1806              | 180         | 1806        | 180         | Submission of Information Disclosure<br>Stmt                          |             |
| Large Entity Fee Fee  | Small Enti         |  | _             | 8021              | 40          | 8021        | 40          | Recording each patent assignment<br>per property (times number of     |             |
| Code (\$)             | Code (\$           | Foo Description  |               |                   |             |             |             | properties)   |             |
| 1202 50               | 2202 2             | 5 Claims in excess of 20   |               | 1809              | 790         | 2809        | 395         | Filing a submission after final rejection<br>(37 CFR § 1.129(a))      | וי וי       |
| 1201 200<br>1203 360  |                    | <ul> <li>Independent claims in excess of 3</li> <li>Multiple dependent claim, if not pa</li> </ul> | id            | 1810 <sup>°</sup> | 790         | 2810        | 395         | For each additional invention to be                                   |             |
| 1204 200              | 2204 10            | ** Reissue independent claims ove<br>original patent   | er            | 1801              | 790         | 2801        | 1 395       | examined (37 CFR § 1.129(b))  Request for Continued Examination (RCE) |             |
| 1205 50               | 2205               | ** Reissue claims in excess of 20 a over original patent   | and           | 1802              | 900         | 1802        |             | Request for expedited examination                                     |             |
|                       |                    | SUBTOTAL (2) 0.  | 7             | Ī                 |             |             |             | of a design application   |             |
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| **or number previo    | usly paid, if grea | ater; For Reissues, see above  |               | *Reduc            | ed by Ba    | sic Filing  | g Fee Pa    | aid SUBTOTAL (3) (\$) 0.  | <del></del> |
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| SUBMITTED BY      |                |                                      |        | Co        | omplete (if applicable) |  |
|-------------------|----------------|--------------------------------------|--------|-----------|-------------------------|--|
| Name (Print/Type) | John Carpenter | Registration No.<br>(Attorney/Agent) | 39,129 | Telephone | 415-659-5927            |  |
| Signature         | Illen          | 160 100                              |        | Date      | 2/17/06                 |  |

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Attorney's Docket No. 351778.04000

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

MINORU HORII

For: Printer and Printing Method (As Amended)

Application No. 09/517,149

Filing Date: March 2, 2000

Examiner: Poon, King Y.

Group Art Unit: 2624

### AMENDMENT AFTER FINAL

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Amendment

In response to the final Office Action dated December 8, 2005, please amend the above identified application as follows.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 4 of this paper.

### In the Claims

### 1. - 34 (Cancelled)

35. (Currently Amended) A printer, comprising:

an image processor configured to apply a series of image processing settings to a first image to produce a series of second images that include images with a progressively higher image processing setting than the first image and images with a progressively lower image processing setting than the first image;

a print section configured to,

print the first image on a printing medium,

print each second image having <u>a</u> progressively higher image processing setting[[s]] in a first direction relative to the printed first image, and

print each second image having <u>a</u> progressively lower image processing setting[[s]] in a second direction relative to the printed first image; and

an input section configured to retrieve a user selection of one of the second images; wherein:

the image processor is further configured to apply a next series of image processing settings which are set for forming a set of images in a plus or minus direction for each of parameter values to the selected second image to produce a third set of images; and

the print section is further configured to print the selected second image and the third set of images[[.]]; and

said selected second image and each of said third set of images are printed with corresponding parameter values which are expressed as a change value from an initial parameter value of the first image.

- 36. (Previously Presented) The printer according to Claim 35, wherein the image processing settings comprise at least one of R, G, B, tint, brightness, and sharpness.
  - 37. (Previously Presented) The printer according to Claim 35, wherein: the image processing settings comprise a tint comprising R, G, and B settings;

Amendment - 2 - Serial No. 09/517,149

the second images are printed so as to surround the first image; and the third images are printed so as to surround the selected second image.

38. (Previously Presented) The printer according to Claim 35, wherein the image processor and print section are configured to repeatedly prepare and print images until a desired image is produced.

Amendment - 3 - Serial No. 09/517,149

#### REMARKS

Favorable consideration of this application is respectfully requested.

Claims 35 - 38 are currently active in this case. Claim 35 has been amended and Claims 1 - 24, 30, 33 and 34 have been cancelled by way of the present amendment. The amended claim is supported by the specification and claims as originally submitted and no new matter has been added.

In the outstanding Office Action, Claims 35 and 38 were rejected under 35 U.S.C. 102(b) as being anticipated by *Tsuboi et al.* (US Pat. 5,119,182, hereinafter *Tsuboi*), and Claims 36 and 37 were rejected under 35 U.S.C. 103(a) as being unpatentable over *Tsuboi* as applied to Claim 35 and further in view of *Yang et al.* (US Pat. 5,606,395, hereinafter *Yang*).

Applicants respectfully traverse the rejection of Claim 35 as being anticipated by *Tsuboi*. As amended, Claim 35 recites:

35. (Currently Amended) A printer, comprising:

an image processor configured to apply a series of image processing settings to a first image to produce a series of second images that include images with a progressively higher image processing setting than the first image and images with a progressively lower image processing setting than the first image;

a print section configured to,

print the first image on a printing medium,

print each second image having <u>a</u> progressively higher image processing setting[[s]] in a first direction relative to the printed first image, and

print each second image having <u>a</u> progressively lower image processing setting[[s]] in a second direction relative to the printed first image; and

an input section configured to retrieve a user selection of one of the second images;

wherein:

the image processor is further configured to apply a next series of image processing settings which are set for forming a set of images in a plus or minus direction for each of parameter values to the selected second image to produce a third set of images; and the print section is further configured to print the selected second image and the third set of images[[.]]; and said selected second image and each of said third set of images are printed with corresponding parameter values which are expressed as a change value from an initial parameter value of the first image.

However, the cited reference fails to teach or suggest similar subject matter.

Applicants respectfully traverse the assertion in the outstanding Office Action which states *Tsuboi* produce a series of second images and print each second image having "progressively higher image processing settings in a first direction relative to the first printed image" and print each second image having "progressively lower image processing settings in a second direction relative to the second printed image." As a preliminary matter, Applicants respectfully note the citation to Fig. 6 which is presented as teaching the "the progressively higher" and "progressively lower" image processing settings in printed images in first and second directions. However Fig 6 is a mosaic rather than directional output of printed images (*Tsuboi*, col. 6, lines 32-33, Fig. 6). In particular, *Tsuboi's* mosaic output is not supportive of progressively different image processing settings in either first or second directions.

More importantly, Tsuboi's mosaic printed images do not teach "image processing settings" that are either "progressively higher" or "progressively lower" in any direction. The outstanding Office Action cites "C2M2Y2 is in the lower direction of C1M1Y1," and "C0M0Y0 are at a higher direction of C1M1Y1." Applicants admit that C2M2Y2 is in a "lower direction" of C1M1Y1 and that C0M0Y0 is in a "higher direction" of C1M1Y1, however, Tsuboi's mosaic images in either the "lower direction," or the "higher direction" do not contain the "progressively higher" or "progressively lower" image processing settings as required in Claim 35.

More particularly, with respect to C0M0Y0, which is in the "higher direction" from C1M1Y1 (but which contains lower image processing settings compared to C1M1Y1), the images printed in any direction between C1M1Y1 and C0M0Y0 contain images that have image processing settings that are either the same as those in C1M1Y1 or higher than those contained in either C1M1Y1 or C0M0Y0 (e.g., all images in row m<sub>2</sub> have a higher M (Magenta) image processing setting than found in either C1M1Y1 or C0M0Y0). Therefore, Tsuboi's mosaic printout cannot be said to contain "progressively lower image processing settings in a second direction relative to the second printed image."

Likewise, with respect to C2M2Y2, which is in the "lower direction" from C1M1Y1 (but which contains higher image processing settings compared to C1M1Y1), the images printed in any direction between C1M1Y1 and C2M2Y2 contain at least one image that has image processing settings that are the same as C1M1Y1 or lower than those contained in either C1M1Y1 or C2M2Y2 (e.g., all images in row m<sub>0</sub> have a lower M (Magenta) image processing setting than found in either C1M1Y1 or C2M2Y2). Therefore, Tsuboi's mosaic printout cannot be said to contain "progressively higher image processing settings in a second direction relative to the second printed image."

Furthemore, Claim 35 has been amended to recite that the image processing settings are "set for forming a set of images in a plus or minus direction for each of parameter values," and that the third set of images are "printed with corresponding parameter values which are expressed as a change value from an initial parameter value of the first image." However, Applicants respectfully note that none of the cited art references teach or suggest printing a third set of images with corresponding parameter values which are expressed as a change in value from an initial parameter value of the first image.

Therefore, Applicants respectfully submit that Claim 35 cannot be anticipated or rendered obvious over *Tsuboi* because the cited reference fails to teach or suggest subject matter specifically claimed in Claim 35. Accordingly, Applicants respectfully submit that Claim 35 is patentable over *Tsuboi*.

Based on the patentability of Claim 35, Applicants further respectfully submit that dependent Claims 36 - 38 are also patentable. If the Examiner disagrees with any of the foregoing, Applicants respectfully request that the Examiner call the undersigned who will be happy to work with the Examiner in a joint effort to resolve any remaining issues.

Amendment - 6 - Serial No. 09/517,149

Consequently, no further issues are believed to be outstanding, and it is respectfully submitted that this case is in condition for allowance. An early and favorable action is respectfully requested.

Respectfully submitted,

REED SMITH LLP

Dated: February 17, 2006

Two Embarcadero Center Suite 2000 PO Box 7936 San Francisco, CA 94120-7936 Direct Dial (415) 659-5927 (415) 543-8700 Telephone (415) 391-8269 Facsimile Name: John W. Carpenter Registration No. 89,129 Attorney for Applicant

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From: John W. Carpenter Direct Phone: 415.659.5927 Email: jcarpenter@reedsmith.com Date: February 14, 2006

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| Re: US Application Serial N  | No. 09/517,149                                      |                           |                    |  |  |  |  |
| Examiner Poon  |   |                           |                    |  |  |  |  |
| Attached is the chart of Fig 6<br>Also attached is a proposed of                 | o from <i>Tsuboi</i> where I have add<br>new claim. | led the relative values o | of the CMY values. |  |  |  |  |
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From: John W. Carpenter

Direct Phone: 415.659.5927 Email: jcarpenter@reedsmith.com Date: February 14, 2006

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| Examiner Poon                  |  |                           |                   |  |
| Examiner Poon                  |  |                           |                   |  |
| Attached is the chart of Fig 6 | from Tsuboi where I have add   | led the relative values o | f the CMY values. |  |
| Also attached is a proposed i  | new claim.   |                           |                   |  |
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| Application Not: 09/517,149 First Named Examiner: Foon King Y. Art Unit: 262   | Applicant: Mind  Status of Ap |  | nding   |
| Art Office 202   | Status of Ap                  | pineation. 1 c.                              | numg  |
| Tentative Participants: (1) Poon, King Y.  | (2) John W.                   | Carpenter                                    |   |
| (3)  | (4)                           |  |   |
| Proposed Date of Interview: 2/16/2006  | Proposed Time: 1              | :30 EST                                      | $(AM \square / PM \boxtimes)$                         |
| Type of Interview Requested: (1) ☐ Telephonic (2) ☒ Personal   | (3)                           | Video Conf                                   | erence  |
| Exhibit To Be Shown or Demonstrated: YE If yes, provide brief description:   | S NO                          |  |   |
| Issues To  | Be Discussed                  |  |   |
| Issues Claims/ Prior   | Discussed                     | Agreed                                       | Not Agreed  |
| (Rej., Obj., etc) Fig. #s Art (1)  |                               |  |   |
| Continuation Sheet Attached  | ,                             |  |   |
| Brief Description of Arguments to be Presente  | d:                            |  |   |
| Discuss prior art - Claim 35 A new claim   |                               |  |   |
| An interview was conducted on the above-identified appl NOTE: This form should be completed by applicant and MPEP § 713.01).  This application will not be delayed from issue because o interview. Therefore, applicant is advised to file a statem as possible. | I submitted to the exar       | submit a writte                              | n record of this                                      |
| Applicant Applicant's Representative Signature   | Exami                         | ner/SPE Signatu                              | re  |
| John W. Carpenter Typed/Printed Name of Applicant or Representative  | · · · · ·                     |  |   |
| 39,129  Registration Number, if applicable   | _                             |  |   |
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### Proposed Amended Claim 35

### 35. A printer, comprising:

an image processor configured to apply a series of image processing settings to a first image to produce a series of second images that include images with a progressively higher image processing setting than the first image and images with a progressively lower image processing setting than the first image;

a print section configured to,

print the first image on a printing medium.

print each second image having progressively higher image processing setting in a first direction relative to the printed first image, and

print each second image having progressively lower image processing setting in a second direction relative to the printed first image; and

an input section configured to retrieve a user selection of one of the second images; wherein:

the image processor is further configured to apply a next series of image processing settings which are set for forming a set of images in a plus or minus direction for each of parameter values to the selected second image to produce a third set of images; and

the print section is further configured to print the selected second image and the third set of images[[.]],

wherein:

said selected second image and each of said third set of images are printed with corresponding parameter values which are expressed as a change value from an initial parameter

value of the torst image

9090



(c, m, y)

| X              |      | ~       | A                    |            |
|----------------|------|---------|----------------------|------------|
| Po             | Уо/  | (Co,    | mo, Yo)<br><b>Y2</b> |            |
| (xo, yo) mo    | LLL  | LLØ     | LLH                  |            |
| mı             | LØL  | LØØ     | LØH                  | Co         |
| ma             | LHL  | LHØ     | LH H                 |            |
| mo             | ØL L | ØLØ     | ØLH                  |            |
| (Cl, mi, yi)   | ØØL  | PPP     | ØØH                  | )Cı        |
| ma             | ØHL  | ØHØ     | ØHH                  |            |
| mo             | HLL  | HLØ     | HLH                  |            |
| mı             | HPL  | HØØ     | HØH                  | CS         |
| m <sub>2</sub> | HHL  | HHØ     | H H H                |            |
| GM             | (II) | ((c2, n | 12,42)               | P1 (xi, yi |

$$L = original - a_1$$

$$H = original + a_1$$

$$See egs. (1) - (6)$$

$$co(.11, 1mes 51-61.$$



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO |
|-----------------|----------------|----------------------|-------------------------|-----------------|
| 09/517,149      | 03/02/2000     | Minoru Horii         | 21778.04000             | 4549            |
| 75              | 590 02/21/2006 |                      | EXAM                    | INER            |
| Adam H Tach     | ner            |                      | POON, F                 | UNG Y           |
| Crosby Heafey   | Roach & May    |                      |                         |                 |
| PO Box 7936     |                |                      | ART UNIT                | PAPER NUMBER    |
| San Francisco,  | CA 94120-7936  |                      | 2624                    |                 |
|                 |                |                      | DATE MAILED: 02/21/2000 | 5               |

Please find below and/or attached an Office communication concerning this application or proceeding.

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(BATE)

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RESPONSE DATE 4/21/06

# Advisory Action MAR 2 3 1001 5

| Application No. | Applicant(s)  | Applicant(s) |  |  |  |  |
|-----------------|---------------|--------------|--|--|--|--|
| 09/517,149      | HORII, MINORU |              |  |  |  |  |
| Examiner        | Art Unit      |              |  |  |  |  |
| King Y. Poon    | 2625          |              |  |  |  |  |

|  | King Y. Poon   | 2625  |   |
|--|--|---|---|
| The MAILING DATE of this communication appe  | ars on the cover sheet with the d  | correspondence add  | ress  |
| THE REPLY FILED <u>06 February 2006</u> FAILS TO PLACE THIS  |  |   |   |
| The reply was filed after a final rejection, but prior to or or this application, applicant must timely file one of the folloplaces the application in condition for allowance; (2) a No (3) a Request for Continued Examination (RCE) in comp following time periods:   | n the same day as filing a Notice of<br>wing replies: (1) an amendment, a<br>otice of Appeal (with appeal fee) in                            | of Appeal. To avoid ab<br>affidavit, or other evide<br>a compliance with 37 (                       | ence, which<br>CFR 41.31; or                            |
| a) The period for reply expiresmonths from the mailing of the period for reply expires on: (1) the mailing date of this Advevent, however, will the statutory period for reply expire later the Examiner Note: If box 1 is checked, check either box (a) or (b) MONTHS OF THE FINAL REJECTION. See MPEP 706.07(fextensions of time may be obtained under 37 CFR 1.136(a). The date on  | isory Action, or (2) the date set forth in the an SIX MONTHS from the mailing date of . ONLY CHECK BOX (b) WHEN THE F.).                     | of the final rejection.<br>FIRST REPLY WAS FILE   | D WITHIN TWO  |
| been filed is the date for purposes of determining the period of extension a<br>CFR 1.17(a) is calculated from: (1) the expiration date of the shortened st<br>above, if checked. Any reply received by the Office later than three month<br>earned patent term adjustment. See 37 CFR 1.704(b).<br>NOTICE OF APPEAL   | and the corresponding amount of the fee.<br>atutory period for reply originally set in the<br>is after the mailing date of the final rejecti | The appropriate extension of the appropriate extension of (2) ion, even if timely filed, materials. | on fee under 37<br>as set forth in (b)<br>ay reduce any |
| <ol> <li>The Notice of Appeal was filed on A brief in com<br/>of filing the Notice of Appeal (37 CFR 41.37(a)), or any e<br/>Since a Notice of Appeal has been filed, any reply must<br/>AMENDMENTS</li> </ol>   | extension thereof (37 CFR 41.37(e)   | )), to avoid dismissal  | of the appeal.  |
| 3. The proposed amendment(s) filed after a final rejection.  | but prior to the date of filing a brid   | of will not be entered  | hecause   |
| (a) They raise new issues that would require further co  | onsideration and/or search (see NO   |   |   |
| (c) They are not deemed to place the application in be appeal; and/or  | etter form for appeal by materially i  |   | g the issues for  |
| (d) They present additional claims without canceling a NOTE: (See 37 CFR 1.116 and 41.33(a)  |  | ejected claims.   |   |
| 4. The amendments are not in compliance with 37 CFR 1.   |  | Compliant Amendmer  | it (PTOL-324).  |
| 5. Applicant's reply has overcome the following rejection(s  |  |   |   |
| <ol> <li>Newly proposed or amended claim(s) would be<br/>the non-allowable claim(s).</li> </ol>  |  |   |   |
| 7. For purposes of appeal, the proposed amendment(s): a how the new or amended claims would be rejected is proposed. The status of the claim(s) is (or will be) as follows:  |  | will be entered and ar  | n explanation of  |
| Claim(s) allowed:  |  |   |   |
| Claim(s) objected to:  |  |   |   |
| Claim(s) rejected: <u>35-38</u> . Claim(s) withdrawn from consideration:   |  |   |   |
| AFFIDAVIT OR OTHER EVIDENCE  |  |   |   |
| 8. The affidavit or other evidence filed after a final action, I because applicant failed to provide a showing of good a and was not earlier presented. See 37 CFR 1.116(e).   | out before or on the date of filing a<br>nd sufficient reasons why the affid   | Notice of Appeal will lavit or other evidence   | not be entered is necessary                             |
| 9.  The affidavit or other evidence filed after the date of filin entered because the affidavit or other evidence failed to showing a good and sufficient reasons why it is necessar   | overcome <u>all</u> rejections under app<br>ary and was not earlier presented.   | eal and/or appellant f<br>See 37 CFR 41.33(d  | ails to provide a<br>)(1).                              |
| 10.   The affidavit or other evidence is entered. An explanate   | ion of the status of the claims after  | r entry is below or atta  | ached.  |
| REQUEST FOR RECONSIDERATION/OTHER  1.  The request for reconsideration has been considered by the broad th | out does NOT place the application   | n in condition for allow  | vance because:  |
| <ul><li>see attachment.</li><li>12. Note the attached Information Disclosure Statement(s</li></ul>   |  | er No(s)  |   |
| 13. Other:   | KAR  |   |   |
|  | KING Y DOOM  |   |   |

U.S. Patent and Trademark Office PTOL-303 (Rev. 7-05) Application/Control Number: 09/517,149

Art Unit: 2625



**Attachment** 

With respect to applicant's argument that Tsuboi does not teach print image having progressively lower or higher processing setting in any direction, has been considered.

In reply: the examiner interprets claimed limitations in light of specification; the examiner also determines disclosure requirement in light of specification.

In determining disclosure requirement, the examiner sees that fig. 3 of the present application clearly teaches (0, +3, 0) and (0, 0, -3) is in the direction of left to right and (0, 0, -3) (0, +3, 0) is in the direction from right to left in relative to (0, 0, 0). The first printed image is (0, 0, 0) in fig. 3. The claimed limitation does not require any of the processing parameter to be higher than the first image. The claimed limitations also do not require all processing parameters to be progressively higher from one image to another. Therefore, the examiner does not raise the 112 first paragraph rejection (disclosure requirement).

In regarding to claim rejection, if the first image of Tsuboi is C1M1Y1, then (C1, Y0, M0), (C1, Y1, M0), (C1, Y2, M0) are second image having progressively higher image processing settings (Y, Y1, Y2, with other settings remain constant; like the applicant's disclosure in fig. 3) in a first (left to right) direction in relative to (C1, Y1, M1).



| Application No. 09/5/7, 149 In re application of: Minoru Horis  Due Date: 4/                  | 778.04000 Atty: JWC                 |
|---|-------------------------------------|
| Application No. 0975771717 HS Ref   | 10/06 after 4/8/2006 Dre day        |
| In re application of:   | 10/06 after 4/8/2006 Die            |
| . 1 / / / / / / / / / / / / / / / / / /   |                                     |
| The following has been received in the USPTO on the date stampe                               | Notice of Appeal                    |
| Transmittal   | Appeal Brief                        |
| Provisional Application: pages  | nemittal Form                       |
| Utility Application: pages  | Lee transmited Form - in cuplicate  |
| ☐ Design Application:pages  | ☐ Check                             |
| ☐ Drawings: sheets ☐ Formal ☐ Drawings: sheets ☐ Formal ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ ☐ | 9D 3-9AB6 U                         |
| ☐ Non-Publication Request   | ☐ Trademark Application & Specimens |
| Oath / Declaration  | Sec 8 & 15 Aff davit                |
| Power of Attorney  APR 13 2006  | Trademark Renewal Application       |
| Assignment & Recordation Cover Sheet  | Certificate of Mailing              |
| Preliminary Amendment   | Express Mail Certificate            |
| □ IDS   | Other: RCE                          |
| Response / Amendment  Request for Extension of Time — in duplicate  Figures Mail Label No.:   | Return Postard                      |
| Request for Extension of Time   |                                     |
| Express Mail Label No.:   | . /                                 |

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| MAR 2 3 2007   | U.S. F<br>Sons are required to respond to a coll  | PTO/SB/21 (09-04) Approved for use through 07/31/2006, OMB 0651-0031 atent and Traderrark Office; U.S. DEPARTMENT OF COMMERCE ection of information unless it displays a valid OMB control number. |
| CAN THE PROPERTY OF THE PROPER | Application Number  | 09/517,149   |
| TRANSMITTAL  | Filing Date   | March 2, 2000  |
| FORM   | First Named Inventor  | Minoru Horii   |
|  | Art Unit  | 2624   |
| (to be used for all correspondence after initial filing)   | Examiner Name   | Poon, King Y.  |
| Total Number of Pages in This Submission 9   | Attorney Docket Number  | 351778.04000   |
| EN   | ICLOSURES (Check all  | that apply)  |
| Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application  Reply to Missing Parts under 37 CFR 1.52 or 1.53  | Drawing(s)  Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence A Terminal Disclaimer Request for Refund  CD, Number of CD(s)  Landscape Table on CD | ddress  Status Letter  Other Enclosure(s) (please Identify below):  Request for Extension of Time Request for Continued Examination Return Postcard  |
|  | OF APPLICANT, ATTOR   | RNEY, OR AGENT   |
| Firm Name Reed Smith, LLP  |   |  |
| Signature  | AND   |  |
| Printed name  John W. Carpenter  |   |  |
| Date   | R   | eg. No. 39,129   |
| CERTIF   | FICATE OF TRANSMISSI  | ON/MAILING   |
| I hereby certify that this correspondence is being fa<br>sufficient postage as first class mail in an envelope<br>the date shown below:  | csimile transmitted to the USPTC addressed to: Commissioner for   | or deposited with the United States Postal Service with Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on   |
| Signature  | 1 Killy   |  |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

April 10, 2006

Mary Kelley

Typed or printed name

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| PER TRANSMITTAL   |                      | Complete if Known |
|---|----------------------|-------------------|
| 6 FEE TRANSMITTAL   | Application Number   | 09/517,149        |
| in a 3 3007 b for FY 2005   | Filing Date          | March 2, 2000     |
| MAR 2 3.2007 TOF FY 2005  Effective 10/01/2004. Patent fees are subject to annual revision. | First Named Inventor | Minoru Horii      |
|   | Examiner Name        | Poon, King Y.     |
| Applicant claims small entity status. See 37 CFR 1.27                                       | Art Unit             | 2624              |
| TOTAL AMOUNT OF PAYMENT (\$) 910.   | Attorney Docket No.  | 351778.004000     |

| METHOD OF PAYMENT (check all that apply)     |   |              |               |                                | FEE CALCULATION (continued) |                                   |              |              |   |                         |            |  |                 |
|--|---|--------------|---------------|--------------------------------|-----------------------------|-----------------------------------|--------------|--------------|---|-------------------------|------------|--|-----------------|
|  |   |              | 3. AD         | DITION                         | AL FE                       | ES                                |              |              |   |                         |            |  |                 |
| ☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None |   |              |               | Large                          | Entity                      | Small E                           | ntity        |              |   |                         |            |  |                 |
|  |   |              |               |                                | Fee<br>Code                 | Fee<br>(\$)                       | Fee<br>Code  | Fee<br>(\$)  | Fee Description                             | Fee Paid                |            |  |                 |
| Depos  |   |              |               | -                              |                             |                                   |              | 1051         | 130   | 2051                    | 65         | Surcharge - late filing fee or oath                                      |                 |
| Accou  |   | 50-2603      |               |                                |                             |                                   |              | 1052         | 50  | 2052                    | 25         | Surcharge - late provisional filing fee or cover sheet.                  |                 |
|  |   |              |               |                                | 1053                        | 130                               | 1053         | 130          | Non-English specification                   |                         |            |  |                 |
| Depos<br>Accou                               |   | REED S       | MITH LLI      | P                              |                             |                                   | İ            | 1812         | 2,520                                       | 1812                    | 2.520      | For filing a request for reexamination                                   |                 |
| Name   | l   |              |               |                                |                             |                                   |              | 1804         | 920*  | 1804                    | 920*       | Requesting publication of SIR prior to Examiner action                   |                 |
| ☑ Charge                                     | e fee(s) in   | dicated b    | pelow         | eck all that a<br>☑ Credit any | overpa                      |                                   |              | 1805         | 1,840*                                      | 1805                    | 1,840*     | Requesting publication of SIR after Examiner action                      |                 |
|  |   |              |               | ing the pendexcept for the     |                             |                                   | ŲN           | 1251         | 120   | 2251                    | 60         | Extension for reply within first month                                   | 120.            |
| to the abo                                   |   | ied depo     | sit acco      | unt.                           |                             |                                   |              | 1252         | 450   | 2252                    | 225        | Extension for reply within second  | 1.20            |
|  |   | F            | EE CAL        | CULATION                       |                             |                                   |              | 1253         | 1020  | 2253                    | 510        | month  | <del></del>     |
| 1. B/<br>Large Enti                          | ASIC FI   | LING FL      |               |                                |                             |                                   |              | 1253         | 1,590                                       | 2253                    | 795        | Extension for reply within third month Extension for reply within fourth |                 |
|  |   |              |               | ee Descriptio                  | n                           |                                   |              | 1255         | 2.460                                       | 2255                    | 4.000      | month  |                 |
|  |   | ode (\$      | _             | oo bosonpaa                    |                             | Fee Paid                          | 1            |              | 2,160                                       | 2255                    | 1,080      | Extension for reply within fifth month                                   | $\vdash$        |
| 1001   | 790 20  | 001 3        | 95 U          | tility filing fee              |                             |                                   | $\neg$       | 1401<br>1402 | 500<br>500                                  | 2401<br>2402            | 250        | Notice of Appeal   |                 |
| 1002   | 350 20  | 002 1        | 75 D          | esign filing fe                | 9                           |                                   |              | 1402         | 1,000                                       | 2402                    | 250<br>500 | Filing a brief in support of an appeal                                   | <b></b> -       |
| 1  |   |              |               | lant filing fee                |                             |                                   |              | 1451         | 1,510                                       | 1451                    | 1,510      | Request for oral hearing Petition to institute a public use              |                 |
|  | 1004 790 2004 395 Reissue filing fee<br>1005 200 2005 100 Provisional filling fee |              |               |                                | 1452                        | 500                               | 2452         |              | proceeding Petition to revive – unavoidable |                         |            |  |                 |
|  |   | SUB          | TOTAL         | (1)                            |                             | (\$) 0                            |              | 1453         | 1,500                                       | 2453                    | 250<br>750 | Petition to revive – unintentional                                       | -               |
| 2. EXTR                                      | RA CLAI   | M FEES       | S FOR         | <b>UTILITY A</b>               | ND RE                       | ISSUE                             |              | 1501         | 1,400                                       | 2501                    | 700        | Utility issue fee (or reissue)   |                 |
|  |   |              |               |                                | Fee from                    |                                   |              | 1502         | 800   | 2502                    | 400        | Design issue fee   |                 |
| Total Claims                                 | s 4   | ••4          | _             | Claims<br>0 X                  | below<br>50.                | Paid<br>= 0.                      | <del>-</del> | 1503         | 1,100                                       | 2503                    | 550        | Plant issue fee  |                 |
|  |   | ≓ <b>"</b>   |               | <u> </u>                       | 30.                         | ╡╶╠═                              | =            | 1460         | 130   | 1460                    | 130        | Petitions to the Commissioner  |                 |
| Independent<br>Claims                        | 1   | **1          | =             | o   x                          | 200.                        | = 0.                              |              | 1807         | 50  | 1807                    | 50         | Processing fee under 37 CFR 1.17 (c                                      | , <del>  </del> |
| Multiple                                     | <u> </u>  |              | L             | X                              |                             | _ =                               | =            | 1806         | 180   | 1806                    | 180        | Submission of Information Disclosure                                     | " —             |
| Dependent                                    | den .   | Cm-!!        | Entite-       | ^                              | L                           | ــا لــ                           |              | 1            |   | 1                       |            | Recording each patent assignment   | <b>—</b>        |
| Large Ent                                    | Fee   | Fee          | Entity<br>Fee | Fee Desci                      | ription                     |                                   |              | 8021         | 40  | 8021                    | 40         | per property (times number of properties)                                | 1 1             |
| <b>Code</b><br>1202                          | (\$)<br>50  | Code<br>2202 | (\$)<br>25    | Claims in                      |                             | of 20                             |              | 1809         | 790   | 2809                    | 395        | Filing a submission after final rejectio<br>(37 CFR § 1.129(a))          | n               |
| 1201<br>1203                                 | 200<br>360  | 2201<br>2203 | 100<br>180    | •                              |                             | s in excess of<br>t claim, if not |              | 1810         | 790   | 2810                    | 395        | For each additional invention to be                                      |                 |
| 1204   | 200   | 2204         | 100           | ** Reissue                     | indepe                      | ndent claims o                    |              | 1801         | 790   | 280                     | 1 395      | examined (37 CFR § 1.129(b))  Request for Continued Examination (RCE)    | 790.            |
|  |   |              |               | original pa                    |                             | in excess of 2                    | 0 and        |              |   |                         |            |  | /90.            |
| 1205   | 50  | 2205         | 25            | over origin                    |                             |                                   |              | 1802         | 900   | 180                     | 2 900      | Request for expedited examination<br>of a design application             |                 |
| SUBTOTAL (2) 0.                              |   |              |               |                                | Other                       | fee (specif                       | ý)           | _            |   |                         |            |  |                 |
| **or nun                                     | **or number previously paid, if greater; For Reissues, see above                  |              |               |                                |                             | *Redu                             | iced by Ba   | sic Filin    | g Fee Pa                                    | aid SUBTOTAL (3) (\$) 9 | 10.        |  |                 |
|  |   |              |               |                                |                             |                                   |              | 1.97         |   |                         |            |  |                 |
| SUBMITTED BY                                 |   |              |               |                                |                             |                                   |              |              | Complete (if applicable)                    |                         |            |  |                 |
| Name (Prir                                   | nt/Type)  | J            | kn Carb       | enter                          |                             | Registration<br>(Attornev/Ad      |              |              | 39.   | 129                     |            | Telephone 415-659-5969   |                 |

| ( | SUBMITTED BY      |                |                                     |        | Corr      | plete (if applicable) |
|---|-------------------|----------------|-------------------------------------|--------|-----------|-----------------------|
| I | Name (Print/Type) | John Carpenter | Registration No<br>(Attorney/Agent) | 39,129 | Telephone | 415-659-5969          |
| ľ | Signature         | Min            | 2011/                               | •      | Date      | April 10, 2006        |

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| PETITION   | EXTENSION OF TIME UNDER 37   | Docket Number (Option  | ai)  |                       |  |  |
|--|--|--|--|-----------------------|--|--|
|  | FY 2005  | 351778.04000   |  |                       |  |  |
| (Fees pursua<br>Application Numl   | ant to the Consolidated Appropriations Act, 200  | Filed Mensh 2 200  |  |                       |  |  |
|  | <del></del>  | Filed March 2, 200   | · · · · · · · · · · · · · · · · · · ·  |                       |  |  |
| For Printer a  | and Printing Method (As Amended)   |  | <b>-</b> · · · · · · · · · · · · · · · · · · ·                                 |                       |  |  |
| Art Unit 2624  |  |  | Examiner Poon, Ki  | ng Y.                 |  |  |
| application.   | nder the provisions of 37 CFR 1.136(a) to ex   |  |  | •                     |  |  |
| me requested exte  | ension and fee are as follows (check time pe   | noa desirea and enter  | the appropriate tee below  | v):                   |  |  |
| $\boxtimes$  | One month (37 CFR 1.17(a)(1))  | <u>Fee</u><br>\$120  | Small Entity Fee<br>\$60   | \$ <u>120.</u>        |  |  |
|  | Two months (37 CFR 1.17(a)(2))   | \$450  | \$225  | \$                    |  |  |
|  | Three months (37 CFR 1.17(a)(3))   | \$1020   | \$510  | \$                    |  |  |
|  | Four months (37 CFR 1.17(a)(4))  | \$1590   | <b>\$79</b> 5  | \$<br>\$              |  |  |
|  | Five months (37 CFR 1.17(a)(5))  | \$2160   | \$1080   | \$                    |  |  |
| The Directo Deposit Acc WARNING: In  | r has already been authorized to charge<br>r is hereby authorized to charge any fee<br>ount Number <u>50-2603, (file no. 351778.</u><br>nformation on this form may become pub<br>rovide credit card information and authori | es which may be rec<br>04000) . I have end<br>lic. Credit card infon | quired, or credit any ov<br>closed a duplicate copy<br>mation should not be in | erpayment, to         |  |  |
| I am the   | □ applicant/inventor. □ assignee of record of the entire in Statement under 37 CFR 3.73 □ attorney or agent of record. Registration number if acting under 37 CFR 1  | (b) is enclosed. (Fo<br>stration Number <u>39,</u><br>.34.           | rm PTO/SB/96).   |                       |  |  |
| April 10, 2006  Signature Date   |  |  |  |                       |  |  |
| Johr   | W. Carperter   | 415-659-5927   |  |                       |  |  |
| Typed or printed name  Telephone Number  NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Subr |  |  |  |                       |  |  |
| more than one signat   | all the inventors or assignees of record of the entirure is required, see below.  forms are submitted.   | e interest or their represe  | entative(s) are required. Subi   | nit multiple forms if |  |  |

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/30 (04-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

The Paper of P

#### 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. 09/517,149 Application Number for March 2, 2000 Filing Date Continued Examination (RCE) Minoru Horii Transmittal First Named Inventor Address to: 2624 Art Unit Mail Stop RCE Commissioner for Patents Poon, King Y. **Examiner Name** P.O. Box 1450 Alexandria, VA 22313-1450 351778.04000 Attorney Docket Number

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

| 1. Submission required under 37 CFR 1 114 Note: If the RCE is proper any   |  |  |  |  |  |  |
|--|--|--|--|--|--|--|
| Submission required under 37 CFR 1.114 Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s). |  |  |  |  |  |  |
| a. Previously submitted. If a final Office action is outstanding, any amendment considered as a submission even if this box is not checked.  | Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be |  |  |  |  |  |
| i. Consider the arguments in the Appeal Brief or Reply Brief previously  | y filed on   |  |  |  |  |  |
| li Other   |  |  |  |  |  |  |
| b. Inclosed  |  |  |  |  |  |  |
| I. ✓ Amendment/Reply iii. Infor  | rmation Disclosure Statement (IDS)   |  |  |  |  |  |
| ii.  | er_Return Postcard   |  |  |  |  |  |
| 2. Miscellaneous   |  |  |  |  |  |  |
| Suspension of action on the above-identified application is requested und  |  |  |  |  |  |  |
| a period of months. (Period of suspension shall not exceed 3 months; I   | Fee under 37 CFR 1.17(i) required)   |  |  |  |  |  |
| b. Other   |  |  |  |  |  |  |
| 3. Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the  | ne RCE is filed.   |  |  |  |  |  |
| The Director is hereby authorized to charge the following fees, any under  | payment of fees, or credit any overpayments, to  |  |  |  |  |  |
| a. Deposit Account No. 50-2603 I have enclosed a   | duplicate copy of this sheet.  |  |  |  |  |  |
| i. RCE fee required under 37 CFR 1.17(e)   |  |  |  |  |  |  |
| ii. Extension of time fee (37 CFR 1.136 and 1.17)  |  |  |  |  |  |  |
| iii. Other   | ·  |  |  |  |  |  |
| b. Check in the amount of \$enclose  | osed   |  |  |  |  |  |
| c. Payment by credit card (Form PTO-2038 enclosed)   | -  |  |  |  |  |  |
| WARNING: Information on this form may become public. Credit card information sho   | uld not be included on this form. Provide credit   |  |  |  |  |  |
| card information and authorization on RTO-2038.  |  |  |  |  |  |  |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGE   | NT REQUIRED  |  |  |  |  |  |
| Signature ALM 1  | Date April 10, 2006  |  |  |  |  |  |
| Name (Print/Type) John Carpbette)  | Registration No. 39,129  |  |  |  |  |  |
| CERTIFICATE OF MAILING OR TRANSMIS   |  |  |  |  |  |  |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with su addressed to: Mail State RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or Office on the date shown below.  | dficient postage as first class mail in an envelope<br>r facsimile transmitted to the U.S. Patent and Trademark          |  |  |  |  |  |
| Signature And Karl   |  |  |  |  |  |  |
| Name (Print/Type) Mary Kelley / /  | Date   April 10, 2006  |  |  |  |  |  |
| This collection of information is required by 22 CER 1 114/ The information is not included.   |  |  |  |  |  |  |

This collection of information is required by 3 CFR 1.114 The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



# Attorney's Docket No. 351778.04000

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Minour HORII

For: Printer and Printing Method (As Amended)

Application No. 09/517,149

Filing Date: March 2, 2000

Examiner: Poon, King Y.

Group Art Unit: 2624

#### PRELIMINARY AMENDMENT

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is a Preliminary Amendment for filing in the above-identified patent application as follows:

Amendments to the Claims are reflected on page 2 of this paper.

Remarks are reflected on page 4 of this paper.

### In the Claims

# 1. - 34 (Cancelled)

# 35. (Previously Amended) A printer, comprising:

an image processor configured to apply a series of image processing settings to a first image to produce a series of second images that include images with a progressively higher image processing setting than the first image and images with a progressively lower image processing setting than the first image;

a print section configured to,

print the first image on a printing medium,

print each second image having a progressively higher image processing setting[[s]] in a first direction relative to the printed first image, and

print each second image having a progressively lower image processing setting[[s]] in a second direction relative to the printed first image; and

an input section configured to retrieve a user selection of one of the second images; wherein:

the image processor is further configured to apply a next series of image processing settings which are set for forming a set of images in a plus or minus direction for each of parameter values to the selected second image to produce a third set of images;

the print section is further configured to print the selected second image and the third set of images; and

said selected second image and each of said third set of images are printed with corresponding parameter values which are expressed as a change value from an initial parameter value of the first image.

- 36. (Previously Presented) The printer according to Claim 35, wherein the image processing settings comprise at least one of R, G, B, tint, brightness, and sharpness.
  - 37. (Previously Presented) The printer according to Claim 35, wherein: the image processing settings comprise a tint comprising R, G, and B settings;

Amendment - 2 - Serial No. 09/517,149

the second images are printed so as to surround the first image; and the third images are printed so as to surround the selected second image.

38. (Previously Presented) The printer according to Claim 35, wherein the image processor and print section are configured to repeatedly prepare and print images until a desired image is produced.

#### REMARKS

Favorable consideration of this application is respectfully requested.

The Commissioner is hereby authorized to charge any fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR §1.78 to Deposit Account No. 50-2603, referencing Attorney Docket No. 351778.04000. A duplicate sheet is attached.

Respectfully submitted,

**REED SMITH LLP** 

Dated: April 10, 2006

Two Embarcadero Center

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(415) 543-8700 Telephone

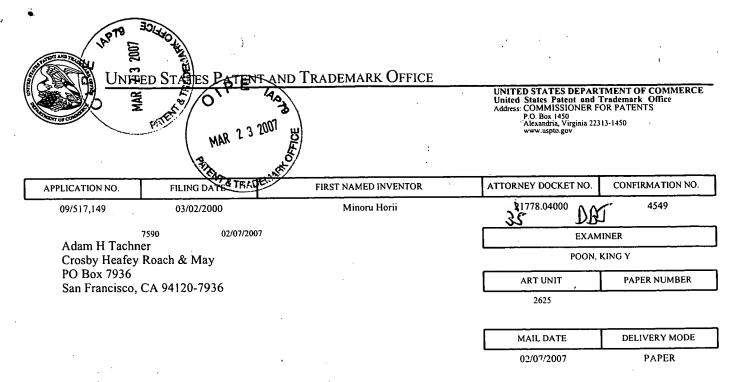
(415) 391-8269 Facsimile

₩. Carpenter Registration No. 39,129 Attorney for Applicant

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, US Patent & Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, on April 10, 2006.

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Please find below and/or attached an Office communication concerning this application or proceeding.





Application No.

O9/517,149

Examiner

King Y. Poon

Applicant(s)

HORII, MINORU

Art Unit

2625

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--This application is abandoned in view of: Applicant's failure to timely file a proper reply to the Office letter mailed on 08 December 2005. (a) A reply was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply (including a total extension of time of \_\_\_\_\_ month(s)) which expired on \_\_\_\_ (b) A proposed reply was received on \_\_\_\_\_, but it does not constitute a proper reply under 37 CFR 1.113 (a) to the final rejection. (A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114). (c) A reply was received on but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the nonfinal rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in box 7 below). (d) No reply has been received. 2. Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85). (a) The issue fee and publication fee, if applicable, was received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85). (b) The submitted fee of \$\_\_\_\_ is insufficient. A balance of \$\_\_\_\_ is due. The issue fee required by 37 CFR 1.18 is \$\_\_\_\_\_. The publication fee, if required by 37 CFR 1.18(d), is \$\_\_\_\_. (c) The issue fee and publication fee, if applicable, has not been received. 3. Applicant's failure to timely file corrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTO-37). (a) Proposed corrected drawings were received on \_\_\_\_\_ (with a Certificate of Mailing or Transmission dated \_\_\_\_\_), which is after the expiration of the period for reply. (b) No corrected drawings have been received. 4. The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire interest, or all of the applicants. 5. The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon the filing of a continuing application. 6. The decision by the Board of Patent Appeals and Interference rendered on \_\_\_\_ and because the period for seeking court review of the decision has expired and there are no allowed claims. 7. The reason(s) below:

> KING Y. POON PRIMARY EXAM

Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to minimize any negative effects on patent term.